## IAP15 Rec'd PCT/PTO 23 FEB 2007

PTO-1390 (Rev. 07-2005)
Approved for use through 03/31/2007. OMB 0651-0021
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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| TRANSMITTAL LETTER TO THE UNITED STATES   | ATTORNEY'S DOCKET NUMBER  |  |  |  |
|---|---|--|--|--|
| DESIGNATED/ELECTED OFFICE (DO/EO/US)  | 41557-227572<br>U.S. APPLICATION NO. (if known, see 37 CFR 1.5) |  |  |  |
| CONCERNING A SUBMISSION UNDER 35 U.S.C. 371   | 10/565204   |  |  |  |
| INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE   | PRIORITY DATE CLAIMED   |  |  |  |
| PCT/GB2004/003161 21 July 2004  TITLE OF INVENTION  | 21 July 2003  |  |  |  |
| SMEAR REDUCTION IN CCD IMAGES   |   |  |  |  |
| APPLICANT(S) FOR DO/EO/US   |   |  |  |  |
| Hazelwood et al.  Applicant herewith submits to the United States Designated/Elected Office (DO/EO/                             | /US) the following items and other information:                 |  |  |  |
| 1. This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.                                      |   |  |  |  |
| 2. X This is a SECOND or SUBSEQUENT submission of items concerning a su   | ubmission under 35 U.S.C. 371.                                  |  |  |  |
| This is an express request to begin national examination procedures (35 U include items (5), (6), (9) and (21) indicated below. | J.S.C. 371(f)). The submission must                             |  |  |  |
| 4. The US has been elected (Article 31).  |   |  |  |  |
| 5. A copy of the International Application as filed (35 U.S.C. 371 (c)(2))  |   |  |  |  |
| a. is attached hereto (required only if not communicated by the Internation   | nal Bureau).  |  |  |  |
| b. has been communicated by the International Bureau.   |   |  |  |  |
| c. is not required, as the application was filed in the United States Receiv  | ring Office (RO/US).  |  |  |  |
| 6. An English language translation of the International Application as filed (35  | 5 U.S.C. 371(c)(2)).  |  |  |  |
| a. is attached hereto.  |   |  |  |  |
| b. has been previously submitted under 35 U.S.C. 154(d)(4).   |   |  |  |  |
| 7. Amendments to the claims of the International Application under PCT Artic  | ,                         |  |  |  |
| a. are attached hereto (required only if not communicated by the Internati  | ional Bureau).  |  |  |  |
| b. have been communicated by the International Bureau.  |   |  |  |  |
| c. have not been made; however, the time limit for making such amendments has NOT expired.                                      |   |  |  |  |
| d. have not been made and will not be made.   |   |  |  |  |
| 8. An English language translation of the amendments to the claims under Po   | CT Article 19 (35 U.S.C. 371(c)(3)).                            |  |  |  |
| 9 x An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  |   |  |  |  |
| An English language translation of the annexes of the International Prelimi Article 36 (35 U.S.C. 371(c)(5)).                   | inary Examination Report under PCT                              |  |  |  |
| Items 11 to 20 below concern document(s) or information included:   |   |  |  |  |
| 11. x A First Supplemental Information Disclosure Statement under 37  | CFR 1.97 and 1.98 and PTO/SB/08A.                               |  |  |  |
| 12. An assignment document for recording. A separate cover sheet in complia   | ance with 37 CFR 3.28 and 3.31 is included.                     |  |  |  |
| 13. A preliminary amendment.  |   |  |  |  |
| 14. An Application Data Sheet under 37 CFR 1.76.  |   |  |  |  |
| 15. A substitute specification.   |   |  |  |  |
| 16. A power of attorney and/or change of address letter.  |   |  |  |  |
| 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825.              |   |  |  |  |
| 8. A second copy of the published International Application under 35 U.S.C. 154(d)(4).  |   |  |  |  |
| 19. A second copy of the English language translation of the international ap   | pplication under 35 U.S.C. 154(d)(4).                           |  |  |  |
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. INTERNATIONAL APPLICATION NO ATTORNEY'S DOCKET NUMBER U.S. APPLICATION NO. (if known, see 37 CFR 1.5) PCT/GB2004/003161 41557-227572 10/565204 Copy of Notification of Missing Requirements dated December 27, 2006 Other items or information: The following fees have been submitted **CALCULATIONS** PTO USEONLY Basic national fee (37 CFR 1.492(a))......\$300 \$ 21. Examination fee (37 CFR 1.492(c)) \$ All other situations Search fee (37 CFR 1.492(b)) Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$ International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB......\$400 All other situations... \$ 0.00 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction RATE **Total Sheets** Extra Sheets thereof (round up to a whole number) x \$250.00 - 100 = /50 = Surcharge of \$130 for furnishing any of the search fee, examination fee, or the oath or declaration 130.00 after the date of commencement of the national stage (37 CFR 1.492(h)). NUMBER FILED NUMBER EXTRA RATE CLAIMS 0.00 - 20 = 0 50.00 Total claims 0.00 - 3 = 0 200.00 Independent claims х MULTIPLE DEPENDENT CLAIM(S) (if applicable) 130.00 TOTAL OF ABOVE CALCULATIONS = \$ Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. SUBTOTAL = \$ 130.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest \$ claimed priority date (37 CFR 1.492(i)). 130.00 \$ TOTAL NATIONAL FEE = Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied \$ by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property \$ TOTAL FEES ENCLOSED = \$ 130.00 \$00000049 220261 10565204 UI FU: Amount to be ₹0.00 DA<sub>130.00</sub> charged

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| a. A check in the amount of \$ to cover the above   | fees is enclosed.             |             |  |  |
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| b. X Please charge my Deposit Account No. 22-0261 in the amou   | nt of \$130.00 to cover the a | above fees. |  |  |
| c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 22-0261  |                               |             |  |  |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038 |                               |             |  |  |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed an granted to restore the International Application to pending status.               |                               |             |  |  |
|   | _                             |             |  |  |
| CENT ALL CORRESPONDENCE TO:   | Robert Kinlary                |             |  |  |
| SEND ALL CORRESPONDENCE TO:   | SIGNATURE                     | <del></del> |  |  |
|   | Robert Kinberg                |             |  |  |
|   | NAME                          |             |  |  |
| CUSTOMER NUMBER: 26694  | 26,924                        |             |  |  |
| •   | REGISTRATION NUMBER           | <del></del> |  |  |
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## United States Patent and Trademark Office

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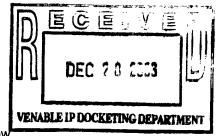
ATTY. DOCKET NO. FIRST NAMED APPLICANT U.S. APPLICATION NUMBER NO. 41557-227572RK Michael John Hazelwood 10/565,204 INTERNATIONAL APPLICATION NO. PCT/GB04/03161 PRIORITY DATE I.A. FILING DATE 26694 07/21/2003 **VENABLE LLP** 07/21/2004 P.O. BOX 34385 WASHINGTON, DC 20043-9998 **CONFIRMATION NO. 9281 371 FORMALITIES LETTER** OC000000021679113

Date Mailed: 12/27/2006

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 01/20/2006
- Copy of the International Search Report filed on 01/20/2006
- Copy of IPE Report filed on 01/20/2006
- Preliminary Amendments filed on 01/20/2006
- Information Disclosure Statements filed on 01/20/2006
- U.S. Basic National Fees filed on 01/20/2006
- Priority Documents filed on 01/20/2006



The applicant needs to satisfy supplemental fees problems indicated below

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath
  or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the
  missing items identified in this letter.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

\$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

CHRISTINE S WASHINGTON

Telephone: (703) 308-9140 EXT 228

PART 1 - ATTORNEY/APPLICANT COPY

| U.       | S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |  |
|----------|---------------------------|-------------------------------|------------------|--|
| <u> </u> | 10/565,204                | PCT/GB04/03161                | 41557-227572RK   |  |

FORM PCT/DO/EO/905 (371 Formalities Notice)